

# FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: March 1, 2017 - 11:12 AM

Version 5.0

## User Selection Criteria

Location:	New York, all activities	Activity Location:	None Chosen
Handler ID:	NYD000824482	Group of IDs:	None Chosen
Handler Name:			
Handler Universe:	All Facilities Regardless of Universe		
Determined Date Range:	From: 10/01/1980 To: 03/01/2017		
Location County Code:	None Chosen	Evaluation Type:	
Location City:		Focus Area:	
Location Zip Code:		Violation Type:	
State District:	None Chosen	Display Code Descrip.:	Yes
Sort Order:	Region, State, Handler Name	Display Universes:	Yes

## Results

Data meeting the criteria you selected follows.

Total Pages: 11      Total Handlers: 1

## Report Description

This report presents available information from the Resource Conservation and Recovery Act Information System (RCRAInfo) about compliance evaluations, violations, and enforcement actions meeting the criteria supplied by the user. Evaluations showing no violations does not always indicate that no violations were determined. Violation without enforcement actions does not always mean no enforcement action will be issued. In order to avoid releasing enforcement sensitive information to the public the following information is not shown on the report: pending civil / judicial referrals, criminal actions and referrals, and State to EPA referrals; all other enforcement actions are released.

## Report Information

Name: cme\_foia.rdf  
Developed by: EPA Headquarters, Office of Enforcement and Compliance Assurance  
Deployed: June 2006  
Last Updated: May 2012  
Contact: rcrainfo.help@epa.gov  
Tables Used: cmecomp3, ccitation3, hreport\_univ5, lu\_citation, lu\_state, hid\_groups  
Libraries: none

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT

County Name / Code: NIAGARA / NY063

NYD000824482

Location: 4700 BUFFALO AVE; NIAGARA FALLS, NY 14302

REGION 02

Mailing: 4700 BUFFALO AVE; PO BOX 344; NIAGARA FALLS, NY 14302

Activity Location: NY	State District: NYSDEC R9	Accessibility:	Non-Notifier:	Extract Flag: Y	Active Site: Y
Generator: LQG	Transporter: N	Operating TSDF: -----	IC In Place: Y	El Indicator (HE / GW):+ / +	
Short-Term Gen: N	Transfer Facility: N	Offsite Receiver: N	HSM: N	Subpart K: ----	
Full Enforcement: -----	Converter: -----	State Unaddressed SNC: N	EPA Unaddressed SNC: N		
CA Wrkld: Y	State TSDF: -----	State Addressed SNC: N	EPA Addressed SNC: N		
Active State Gen: N		State SNC w/Comp Sched: N	EPA SNC w/Comp Sched: N		

<b>Violation:</b>	Activity Location: NY	Type: 264.O	Determined Date: 11/03/2000	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date:		Actual Compliance Date: 11/14/2001	RTC Qualifier: OBSERVED	Sequence Number: 13
	Former Citation - SR - MOD V COND (D)(I)				
CEI Evaluation	11/03/2000	Activity Location: NY	By: State	Identifier: 001	Person: NYRG9
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:

Enforcement:	Activity Location: NY	Type: 310	Action Date: 11/14/2001	Identifier: 001
Docket:		Agency: State	Responsible Person: NYRG9	Branch: R9
Penalty Information: Proposed:		Final Monetary: \$18,000	Collected: \$18,000	Total Final: \$18,000
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 07/03/2001	Identifier: 001
Docket:		Agency: State	Responsible Person: NYRG9	Branch: R9
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:

<b>Violation:</b>	Activity Location: NY	Type: 264.A	Determined Date: 10/26/1998	Determined by Agency: State	Responsible Agency: State
	Scheduled Compliance Date: 11/26/1998		Actual Compliance Date: 03/15/2001	RTC Qualifier: OBSERVED	Sequence Number: 12
CEI Evaluation	09/18/1998	Activity Location: NY	By: State	Identifier: 000	Person: NYRFR
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:

Enforcement:	Activity Location: NY	Type: 120	Action Date: 10/26/1998	Identifier: 000
Docket:		Agency: State	Responsible Person: NYRFR	Branch: R9
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:

<b>Violation:</b>	Activity Location: NY	Type: 264.O	Determined Date: 08/17/1998	Determined by Agency: EPA	Responsible Agency: EPA
	Scheduled Compliance Date: 09/21/1998		Actual Compliance Date: 09/21/1998	RTC Qualifier: OBSERVED	Sequence Number: 1
	Former Citation - FR - 40CFR265				
CEI Evaluation	07/21/1998	Activity Location: NY	By: EPA	Identifier: 000	Person: R2AHJ
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:
					Found Violation: YES
					Focus Area:

Enforcement:	Activity Location: NY	Type: 120	Action Date: 07/21/1998	Identifier: 000
Docket:		Agency: EPA	Responsible Person: R2AHJ	Branch: RCB
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:

\* Note: Penalty amount may not reflect all violations cited.

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT, NYD000824482, NIAGARA FALLS, NY, continued -

Violation:	Activity Location: NY	Type: 264.O	Determined Date: 05/07/1998	Determined by Agency: State	Responsible Agency: State		
	Scheduled Compliance Date: 06/07/1998		Actual Compliance Date: 03/15/2001	RTC Qualifier: OBSERVED	Sequence Number: 11		
CEI Evaluation	03/23/1998	Activity Location: NY	By: State	Identifier: 000	Person: NYRWK	Branch: R9	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 05/07/1998	Identifier: 000			
Docket:		Agency: State	Responsible Person: NYRWK	Branch: R9			
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:			

Violation:	Activity Location: NY	Type: 264.O	Determined Date: 01/15/1997	Determined by Agency: State	Responsible Agency: State		
	Scheduled Compliance Date: 11/15/1997		Actual Compliance Date: 02/11/1998	RTC Qualifier: OBSERVED	Sequence Number: 10		
OAM Evaluation	01/15/1997	Activity Location: NY	By: State	Identifier: 000	Person:	Branch: R9	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 310	Action Date: 02/11/1998	Identifier: 001			
Docket:		Agency: State	Responsible Person:	Branch:			
Penalty Information: Proposed:		Final Monetary: \$4,000	Collected: \$4,000	Total Final: \$4,000			
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:			
Enforcement:	Activity Location: NY	Type: 210	Action Date: 08/14/1997	Identifier: 000			
Docket:		Agency: State	Responsible Person:	Branch: R9			
Penalty Information: Proposed: \$9,500		Final Monetary:	Collected:	Total Final:			
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:			

Violation:	Activity Location: NY	Type: 264.A	Determined Date: 05/03/1993	Determined by Agency: State	Responsible Agency: State		
	Scheduled Compliance Date: 06/03/1993		Actual Compliance Date: 06/21/1993	RTC Qualifier: OBSERVED	Sequence Number: 9		
CEI Evaluation	03/29/1993	Activity Location: NY	By: State	Identifier: 000	Person: NYRBR	Branch: R9	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 05/03/1993	Identifier: 000			
Docket:		Agency: State	Responsible Person: NYRBR	Branch: R9			
CA Component: N		Disposition Status:	Appeal Initiated:	Appeal Resolved:			

Violation:	Activity Location: NY	Type: 264.A	Determined Date: 08/21/1992	Determined by Agency: State	Responsible Agency: State		
	Scheduled Compliance Date: 05/01/1994		Actual Compliance Date: 01/05/1995	RTC Qualifier: OBSERVED	Sequence Number: 8		
CEI Evaluation	03/30/1992	Activity Location: NY	By: State	Identifier: 000	Person: NYRBR	Branch: R9	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:

\* Note: Penalty amount may not reflect all violations cited.

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT, NYD000824482, NIAGARA FALLS, NY, continued -

Enforcement:	Activity Location: NY	Type: 310	Action Date: 05/23/1994	Identifier: 000
Docket:		Agency: State	Responsible Person: NYRBR	Branch: R9
<div> <div>Penalty Information: Proposed:</div> <div>Final Monetary: \$13,000</div> <div>Collected: \$13,000</div> <div>Total Final: \$13,000</div> </div>				
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:
Enforcement:	Activity Location: NY	Type: 210	Action Date: 11/24/1992	Identifier: 000
Docket:		Agency: State	Responsible Person: NYRBR	Branch: R9
<div> <div>Penalty Information: Proposed: \$26,000</div> <div>Final Monetary:</div> <div>Collected:</div> <div>Total Final:</div> </div>				
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:
<b>Violation:</b>	Activity Location: NY	Type: 264.A	Determined Date: 08/13/1990	Determined by Agency: State
Scheduled Compliance Date: 09/21/1990		Actual Compliance Date: 10/12/1990		Responsible Agency: State
			RTC Qualifier: OBSERVED	Sequence Number: 7
CEI Evaluation	08/13/1990	Activity Location: NY	By: State	Identifier: 015
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Person: NYDEC
		Not Subtitle C: NO		Branch:
		Day Zero:		Found Violation: YES
				Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 08/21/1990	Identifier: 007
Docket:		Agency: State	Responsible Person: NYDEC	Branch:
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:
<b>Violation:</b>	Activity Location: NY	Type: 264.A	Determined Date: 07/31/1989	Determined by Agency: State
Scheduled Compliance Date: 09/22/1989		Actual Compliance Date: 10/12/1990		Responsible Agency: State
			RTC Qualifier: OBSERVED	Sequence Number: 6
CEI Evaluation	07/31/1989	Activity Location: NY	By: State	Identifier: 014
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Person: NYDEC
		Not Subtitle C: NO		Branch:
		Day Zero:		Found Violation: YES
				Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 08/22/1989	Identifier: 006
Docket:		Agency: State	Responsible Person: NYDEC	Branch:
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:
<b>Violation:</b>	Activity Location: NY	Type: 264.A	Determined Date: 10/15/1987	Determined by Agency: State
Scheduled Compliance Date: 10/17/1987		Actual Compliance Date: 10/17/1987		Responsible Agency: State
			RTC Qualifier: OBSERVED	Sequence Number: 5
NRR Evaluation	10/15/1987	Activity Location: NY	By: State	Identifier: 012
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Person: NYDEC
		Not Subtitle C: NO		Branch:
		Day Zero:		Found Violation: YES
				Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 10/15/1987	Identifier: 005
Docket:		Agency: State	Responsible Person: NYDEC	Branch:
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:
<b>Violation:</b>	Activity Location: NY	Type: 262.B	Determined Date: 07/24/1987	Determined by Agency: State
Scheduled Compliance Date: 07/26/1987		Actual Compliance Date: 07/26/1987		Responsible Agency: State
			RTC Qualifier: OBSERVED	Sequence Number: 4
NRR Evaluation	07/24/1987	Activity Location: NY	By: State	Identifier: 010
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Person: NYDEC
		Not Subtitle C: NO		Branch:
		Day Zero:		Found Violation: YES
				Focus Area:

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT, NYD000824482, NIAGARA FALLS, NY, continued -

Enforcement:	Activity Location: NY	Type: 120	Action Date: 07/24/1987	Identifier: 004			
Docket:		Agency: State	Responsible Person: NYDEC	Branch:			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Violation:	Activity Location: NY	Type: 264.A	Determined Date: 05/29/1987	Determined by Agency: State	Responsible Agency: State		
	Scheduled Compliance Date: 07/19/1987		Actual Compliance Date: 06/10/1988	RTC Qualifier: OBSERVED	Sequence Number: 2		
CEI Evaluation	05/29/1987	Activity Location: NY	By: State	Identifier: 007	Person: NYDEC	Branch:	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 06/19/1987	Identifier: 002			
Docket:		Agency: State	Responsible Person: NYDEC	Branch:			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Violation:	Activity Location: NY	Type: 264.H	Determined Date: 05/01/1987	Determined by Agency: State	Responsible Agency: State		
	Scheduled Compliance Date: 06/01/1987		Actual Compliance Date: 06/01/1987	RTC Qualifier: OBSERVED	Sequence Number: 3		
FRR Evaluation	05/01/1987	Activity Location: NY	By: State	Identifier: 008	Person: NYDEC	Branch:	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 05/01/1987	Identifier: 003			
Docket:		Agency: State	Responsible Person: NYDEC	Branch:			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Violation:	Activity Location: NY	Type: 264.A	Determined Date: 06/30/1986	Determined by Agency: State	Responsible Agency: State		
	Scheduled Compliance Date: 08/10/1986		Actual Compliance Date: 08/06/1986	RTC Qualifier: OBSERVED	Sequence Number: 1		
CEI Evaluation	06/30/1986	Activity Location: NY	By: State	Identifier: 005	Person: NYDEC	Branch:	Found Violation: YES
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
Enforcement:	Activity Location: NY	Type: 120	Action Date: 07/10/1986	Identifier: 001			
Docket:		Agency: State	Responsible Person: NYDEC	Branch:			
CA Component: N	Disposition Status:		Appeal Initiated:	Appeal Resolved:			
Evaluations With No Violations:							
CEI Evaluation	07/22/2015	Activity Location: NY	By: State	Identifier: 001	Person: NYKHE	Branch: R9	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 07/22/2015		Focus Area:
FRR Evaluation	06/15/2012	Activity Location: NY	By: EPA	Identifier: 001	Person: R2NR	Branch: RCB	Found Violation: U
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 06/15/2012		Focus Area:
CEI Evaluation	11/16/2011	Activity Location: NY	By: State	Identifier: 001	Person: NYTRN	Branch: R9	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 11/16/2011		Focus Area:

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT, NYD000824482, NIAGARA FALLS, NY, continued -

FRR Evaluation	09/26/2011	Activity Location: NY	By: EPA	Identifier: 001	Person: R2NR	Branch: RCB	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 09/26/2011		Focus Area:
CEI Evaluation	02/17/2011	Activity Location: NY	By: State	Identifier: 001	Person: NYALS	Branch: R9	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 02/17/2011		Focus Area:
OAM Evaluation	09/23/2010	Activity Location: NY	By: State	Identifier: 001	Person: NYACH	Branch: EGS	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 09/23/2010		Focus Area:
NRR Evaluation	04/02/2010	Activity Location: NY	By: State	Identifier: 001	Person: NYACH	Branch: EGS	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 04/02/2010		Focus Area:
NRR Evaluation	01/03/2010	Activity Location: NY	By: State	Identifier: 001	Person: NYACH	Branch: RBE	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 01/03/2010		Focus Area:
NRR Evaluation	04/06/2009	Activity Location: NY	By: State	Identifier: 001	Person: NYACH	Branch: EGS	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 04/06/2009		Focus Area:
CEI Evaluation	03/11/2009	Activity Location: NY	By: State	Identifier: 001	Person: NYALS	Branch: R9	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 03/11/2009		Focus Area:
NRR Evaluation	04/01/2008	Activity Location: NY	By: State	Identifier: 001	Person: NYACH	Branch: EGS	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 04/01/2008		Focus Area:
CEI Evaluation	09/28/2007	Activity Location: NY	By: State	Identifier: 001	Person: NYALS	Branch: R9	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 09/28/2007		Focus Area:
FCI Evaluation	09/13/2007	Activity Location: NY	By: EPA	Identifier: 001	Person: R2OSK	Branch: RCB	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 09/13/2007		Focus Area: DOS
GME Evaluation	05/16/2007	Activity Location: NY	By: State	Identifier: 001	Person: NYACH	Branch: EGS	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 05/16/2007		Focus Area:
NRR Evaluation	04/02/2007	Activity Location: NY	By: State	Identifier: 001	Person: NYACH	Branch: EGS	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero: 04/02/2007		Focus Area:
CEI Evaluation	01/17/2006	Activity Location: NY	By: State	Identifier: 030	Person: NYALS	Branch: R9	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
NRR Evaluation	04/09/2004	Activity Location: NY	By: State	Identifier: 001	Person: NYWWZ	Branch: EGS	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	02/27/2004	Activity Location: NY	By: State	Identifier: 001	Person: NYBRI	Branch: R9	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	08/29/2002	Activity Location: NY	By: State	Identifier: 001	Person: NYRFR	Branch: R8	Found Violation: NO
Citizen Complaint:	NO	Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT, NYD000824482, NIAGARA FALLS, NY, continued -

SNN Evaluation 11/15/2001 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C: NO	Person: NYBKP Day Zero:	Branch: WES	Found Violation: NO Focus Area:
CEI Evaluation 08/22/2001 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: 001 Not Subtitle C: NO	Person: R2AHJ Day Zero:	Branch: RCB	Found Violation: U Focus Area:
CEI Evaluation 08/21/2001 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C: NO	Person: NYRWK Day Zero:	Branch: R9	Found Violation: NO Focus Area:
FRR Evaluation 07/17/2001 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C: NO	Person: NYJMI Day Zero:	Branch: CO	Found Violation: NO Focus Area:
SNY Evaluation 03/29/2001 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C: NO	Person: NYBKP Day Zero:	Branch: R9	Found Violation: N/A Focus Area:
CEI Evaluation 04/13/2000 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: R2AHJ Day Zero:	Branch: RCB	Found Violation: NO Focus Area:
CEI Evaluation 04/12/2000 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: R2AHJ Day Zero:	Branch: RCB	Found Violation: NO Focus Area:
CEI Evaluation 03/31/2000 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 001 Not Subtitle C: NO	Person: NYBRI Day Zero:	Branch: R9	Found Violation: NO Focus Area:
NRR Evaluation 04/16/1999 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: Day Zero:	Branch: EGS	Found Violation: NO Focus Area:
SNN Evaluation 09/21/1998 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: CV3 Not Subtitle C: NO	Person: Day Zero:	Branch:	Found Violation: NO Focus Area:
SNY Evaluation 08/17/1998 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: CNV Not Subtitle C: NO	Person: Day Zero:	Branch:	Found Violation: N/A Focus Area:
CEI Evaluation 07/22/1998 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: EPA Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: R2AHJ Day Zero:	Branch: RCB	Found Violation: NO Focus Area:
NRR Evaluation 04/01/1998 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: NYWWZ Day Zero:	Branch: EGS	Found Violation: NO Focus Area:
NRR Evaluation 02/10/1998 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: Day Zero:	Branch: EGS	Found Violation: NO Focus Area:
CEI Evaluation 02/11/1997 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: NYRFR Day Zero:	Branch: R9	Found Violation: NO Focus Area:
NRR Evaluation 10/10/1996 Citizen Complaint: NO	Activity Location: NY Multimedia Inspection: NO	By: State Sampling: NO	Identifier: 000 Not Subtitle C: NO	Person: Day Zero:	Branch: EGS	Found Violation: NO Focus Area:

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## OCCIDENTAL CHEMICAL-NIAGARA PLANT, NYD000824482, NIAGARA FALLS, NY, continued -

CEI Evaluation	08/14/1996	Activity Location: NY	By: EPA	Identifier: 022	Person: R2AHJ	Branch: RCB	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	03/28/1996	Activity Location: NY	By: State	Identifier: 000	Person: NYRBR	Branch: R9	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	11/22/1994	Activity Location: NY	By: State	Identifier: 000	Person: NYNSL	Branch: R9	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	10/21/1993	Activity Location: NY	By: State	Identifier: 000	Person: NYRBR	Branch: R9	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	08/29/1991	Activity Location: NY	By: EPA	Identifier: 000	Person:	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	09/01/1990	Activity Location: NY	By: EPA	Identifier: 016	Person: R2ME	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	06/10/1988	Activity Location: NY	By: State	Identifier: 013	Person: NYDEC	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
FRR Evaluation	12/03/1987	Activity Location: NY	By: State	Identifier: 011	Person: NYDEC	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
FRR Evaluation	06/01/1987	Activity Location: NY	By: State	Identifier: 009	Person: NYDEC	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
FRR Evaluation	12/02/1986	Activity Location: NY	By: State	Identifier: 006	Person: NYDEC	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
FRR Evaluation	05/01/1986	Activity Location: NY	By: State	Identifier: 004	Person: NYDEC	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	06/28/1985	Activity Location: NY	By: State	Identifier: 003	Person: NYDEC	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
NRR Evaluation	10/31/1984	Activity Location: NY	By: State	Identifier: 002	Person:	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:
CEI Evaluation	09/27/1984	Activity Location: NY	By: State	Identifier: 001	Person:	Branch:	Found Violation: NO
Citizen Complaint: NO		Multimedia Inspection: NO	Sampling: NO	Not Subtitle C: NO	Day Zero:		Focus Area:

Total Number of Handlers: 1

Total Number of Activity Locations: 1

\* End of Report \*

\* Note: Penalty amount may not reflect all violations cited.



# FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

Report run on: March 1, 2017 - 11:12 AM

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## Description of codes used on the report:

Universes	Description of Universes
Generator	Indicates that the facility is a Large Quantity Generator (LQG), Small Quantity Generator (SQG), Conditionally Exempt Small Quantity Generator (CEG), or not a generator (N).
Transporter	Indicates that the facility Transports waste subject to RCRA regulations. ('Y' indicates that the facility is in this universe).
Operating TSDF	Indicates that the facility is a Treatment, Storage or Disposal facility subject to any type of enforcement. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
IC in Place	Indicates that the facility has Institutional Controls in place. ('Y' indicates that the facility is in this universe).
EI Indicator (HE / GW)	Indicates that the facility has controls in place for Environmental Indicators. HE - Human Exposures ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist) GW - Groundwater Release ('+' indicates the exposure exists and is under control; '-' indicates the exposure exists and is not under control; 'N' indicates the exposure does not exist)
Short-Term Gen	Indicates that the facility is a short term or one time event generator and not generating from ongoing processes.
Transfer Facility	Indicates that the facility transfers hazardous waste.
Offsite Receiver	Indicates that the facility, whether public or private, currently accepts hazardous waste from another site (site identified by a different EPA ID).
HSM	Indicates that the facility manages hazardous secondary material(s) (e.g. spent material, by-product or sludge) that when discarded, would be identified as hazardous waste.
Subpart K	Indicates that the facility has opted into the subpart K laboratory rule. It then specifies the type of facility (C - College or University; H - Teaching Hospital; N - Non-profit Research Institute; W - withdrawal from the rule)
Full Enforcement	Indicates that the facility is a Treatment, Storage or Disposal facility which is part of the Full Enforcement universe. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
CA Workload	Indicates that the facility is part of the Corrective Action Workload universe. ('Y' indicates that the facility is in this universe).
Active State Gen	Indicates that the facility is an Active State Generator. ('Y' indicates that the facility is in this universe).
Converter	Indicates that the facility is a Converter Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State TSDF	Indicates that the facility is a State Treatment, Storage or Disposal facility. It then specifies the type of facility (L - Land Disposal; I - Incinerator; B - BIF; S - Storage; T - Treatment)
State Unaddressed SNC	Indicates that the facility is a State Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State Addressed SNC	Indicates that the facility is a State Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
State SNC w/ Compl. Sched	Indicates that the facility is a State Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).
EPA Unaddressed SNC	Indicates that the facility is an EPA Unaddressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA Addressed SNC	Indicates that the facility is an EPA Addressed Significant Non-Complier. ('Y' indicates that the facility is in this universe).
EPA SNC w/ Compl. Sched	Indicates that the facility is a EPA Significant Non-Complier with a Compliance Schedule. ('Y' indicates that the facility is in this universe).

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# FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

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## Description of codes used on the report:

ACCESSIBILITY - indicates the reason why the handler is not accessible for normal RCRA tracking and processing (previously called Bankrupt Indicator):	
Code	Description
B	indicates that the handler has filed for bankruptcy and bankruptcy litigation is in process.
C	indicates that all RCRA responsibilities for permitting/closure, corrective action, and compliance monitoring and enforcement at the facility have been formally transferred to the CERCLA program or state equivalent.
F	indicates that all responsible parties (owners/operators) for the handler have fled the country or are otherwise not available for prosecution.
L	indicates that the handler's case is tied up in litigation to the extent that further progress in achieving RCRA compliance through normal enforcement is not possible.

NON-NOTIFIER - indicates that the handler has been identified through a source other than Notification and is suspected of conducting RCRA-regulated activities without proper authority:	
Code	Description
E	indicates that the handler was initially a non-notifier, subsequently determined to be exempt from requirements to notify.
O	indicates that the handler is a former non-notifier.
X	indicates that the handler is a non-notifier.

Violation Type	Description
262.B	GENERATORS - MANIFEST
264.A	TSD - GENERAL
264.H	TSD - FINANCIAL REQUIREMENTS
264.O	TSD - INCINERATOR STANDARDS

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# FOIA Report of Non-Sensitive Compliance Monitoring and Enforcement Data

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## Description of codes used on the report:

Evaluation Type	Type Description
CEI	COMPLIANCE EVALUATION INSPECTION ON-SITE
FCI	FOCUSED COMPLIANCE INSPECTION
FRR	FINANCIAL RECORD REVIEW
GME	GROUNDWATER MONITORING EVALUATION
NRR	NON-FINANCIAL RECORD REVIEW
OAM	OPERATION AND MAINTENANCE INSPECTION
SNN	NOT A SIGNIFICANT NON-COMPLIER
SNY	SIGNIFICANT NON-COMPLIER

Focus Area	Description
DOS	DEFINITION OF SOLID WASTE

Enforcement Type	Enforcement Description
120	WRITTEN INFORMAL
210	INITIAL 3008(A) COMPLIANCE
310	FINAL 3008(A) COMPLIANCE ORDER

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